

# Incentive Programs Redesign v1.0

10/7/16

The Research Office runs the two “Research Incentive” programs for faculty. The total budget has been nearly constant for 7 years, at an average of \$180k/y, with spending of \$189k/y.

- a. **Faculty Release Time (FRT)**. \$50k/y. Funds faculty salary/release time. Funding history is 56% CLA, 22% COS, 6% CEEd; all others < 5%. Total of 58 awards in 7 yrs.
- b. **General Research Fund (GRF)**. \$130k/y. Funding history is 20% CAS, 17% CLA, 12% PHHS, 12% COS, 9% COF, 7% COE, 5% Vet Med, 5%; all others <5%. Total of 78 awards in 7 yrs.

Note that these are distinct from the RERF, BUC, and undergraduate research programs.

A combination of factors led us to reconsider how we structure these programs.

- The FRTs are not broadly supporting faculty across OSU - most go to 2 colleges.
- There is a need for some larger awards to support more sustained, impactful work.
- A number of researchers and associate deans for research have indicated that several 10's of thousands of \$ are needed to get a project up and running. At the same time, humanities researchers or others who do not have labs or equipment may not need large grants and may not have grant programs available, but still may need funds for travel to a library or other modest levels of support.
- These awards along with undergraduate awards take a large amount of time.
  - The RO staff member who did most of the administration work retired and we have not back-filled.
  - Reviewed by the Faculty Senate Research Council and volunteer ad hoc reviewers

# Small Grants Program (SGP).

- Goals
  - Support humanities research, the arts and other creative activities from any college.
  - Develop external grant proposals and/or scholarly activities.
  - Activities should advance SP3.0.
- Allocate \$60k to Center for the Humanities and \$10k to Cascades to administer the small grants program (SGP).
- Report annually on the following:
  - RFP web address.
  - Grants, performances, publications, conference proceedings, or other creative activities funded in the past year (name, college, department, grant title, amount, grant period).
  - Peer-reviewed scholarship generated by SGP in past **two** years.
  - Proposals submitted due to SGP in past **two** years.
  - Grants received due to SGP in past **two** years.
- Requirements
  - No funds will be carried over to the next fiscal year by CftH or centrally at Cascades.
  - Center/Campus directors and staff are ineligible for funding.
  - The SGP is intended to catalyze further scholarly activities and are not intended as a replacement for other funds or as the sole source of support of a project.
  - Awards will range from \$3300 to \$7000.
  - Funds may be used as course buy-out; or expenses/travel supporting research, arts, and creative activities.
  - CftH funding is for any tenure-track faculty in CLA, COB, Education, or any faculty with a humanities, arts, or creative activity with another college.
  - Preference should be given to new or junior faculty (hired in the last three years) and to applicants who describe incisive, innovative research with a strong likelihood of support. Senior faculty are eligible, particularly if the proposal being developed represents a change in direction for the faculty member's research or scholarship.
  - All research must comply with OSU research requirements for human subjects, animal care and use, human safety, etc. Details must be provided in application. Essential elements of compliance can be found at the Faculty Release Time webpage (<http://research.oregonstate.edu/incentive/faculty-release-time>). The RFP will have a replacement for this web page.
  - Proposals should be routed and submitted via Cayuse and endorsed by unit and college heads.

# Large Program Development (LPD) Grants.

- Goals
  - Stimulate major, transdisciplinary, externally-funded research programs by supporting multidisciplinary teams to work together.
  - Identify new research cores at OSU.
  - Research to advance SP3.0.
- Structure & Budget
  - Budget \$200k/y for all LPDs, with expectation of launching two per year.
  - Anticipated funding of two new proposals per year with typical funding of \$30k/y or more. Budget does not have to remain the same each year.
  - Unit match is encouraged to keep project cost under \$100k total.
- Deadline of March 15, 2017, and annually thereafter
- Guidelines
  - Programs should run for 2 or 3 years.
  - PIs may propose changing budget over time - e.g., ramp up.
- Requirements
  - Funds may be used for any project-related expenses allowable with E&G funds
  - Lead PI must be tenure-track faculty.
  - Project team must have key personnel from at least two colleges.
  - The LPD is intended to catalyze new, externally-funded research activities and are not intended as a replacement for other funds or as the sole source of support of a project.
  - All research must comply with OSU research requirements for human subjects, safety, etc. Details must be spelled out in RFP. Basics will be replicated from General Research Fund webpage (<http://research.oregonstate.edu/incentive/generalresearchfund>). The RFP will have a replacement for this web page.
  - Proposals should be routed and submitted via Cayuse and should be endorsed by unit and college heads.
  - Proposals should have well defined milestones spread along project timeline.
  - A brief (1-page) semi-annual progress report and check-in meeting will be due each 180 days after funding, and an annual report will be due on the anniversary of funding. Funding can be decommitted at any review period.
  - Project must provide a viable path to a new, major, externally-funded program with full F&A by the end of the proposed funding period.
- Review Procedure for LPD will follow current guidelines for GRF (<http://research.oregonstate.edu/incentive/generalresearchfund>). Also see Table 1 for review criteria.
- Annual conference - the LPD will have an annual conference open to all in the spring of each year.

**Table 1. LPD Review Criteria.**

| Criteria   | Comments   |
|--|--|
| Quality of plan for generating major, new, fully-overheaded, externally-funded, research activities  | Fully-overheaded at 47% will receive priority.   |
| Collaboration between multiple colleges  | Two are mandatory  |
| Quality of trans- or multidisciplinary collaboration   | Deep involvement from more than one discipline will receive preference.  |
| Quality and verifiability of milestones  |  |
| Advance SP3.0 benchmarks<br>( <a href="http://leadership.oregonstate.edu/strategicplan/strategic-plan-phase-iii-2014-2018/benchmarks-excellence">http://leadership.oregonstate.edu/strategicplan/strategic-plan-phase-iii-2014-2018/benchmarks-excellence</a> )  |  |
| <p>Other desirable criteria include the following.</p> <ul style="list-style-type: none"> <li>● Mentorship of junior faculty by senior faculty</li> <li>● Internationalization of OSU</li> <li>● Involves University Centers and Institutes (see list on Research Office website)</li> <li>● Involvement of external partners</li> <li>● Promotion of diversity</li> </ul> | While the ideal project would involve some of these, it is recognized that the funding level is modest and not every other desirable goal can be achieved. Some goals may be achieved later while others are achieved earlier. |